

COVINGTON & BURLING

1201 PENNSYLVANIA AVENUE NW WASHINGTON, DC
WASHINGTON, DC 20004-2401 NEW YORK
TEL 202 662.6000 LONDON
FAX 202.662.6291 BRUSSELS
WWW.COV.COM SAN FRANCISCO

PAUL J. BERMAN
TEL 202.662.5468
FAX 202.778.5468
PBERMAN@COV.COM

jc996 U.S. PTO
09/809025
03/16/01

March 16, 2001

Box Patent Application

Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Non-Provisional Utility Patent Application
Appl. No.: To be assigned; Filed: March 16, 2001
For: **Digital Options Having Demand-Based, Adjustable
Returns, and Trading Exchange Therefor**
Inventor: Jeffrey Lange
Our Ref: 26859.102.US01

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.
Patent and Trademark Office:

1. Patent Application Initial Information Data Sheet;
2. Utility Patent Application Transmittal (Form PTO/SB/05);
3. U.S. Utility Patent Application entitled:

**Digital Options Having Demand-Based, Adjustable
Returns, and Trading Exchange Therefor**

and naming as inventor:

Jeffrey Lange

the application comprising:

- a. A specification containing:
 - (i) 227 pages of description prior to the claims;

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Commissioner for Patents

March 16, 2001

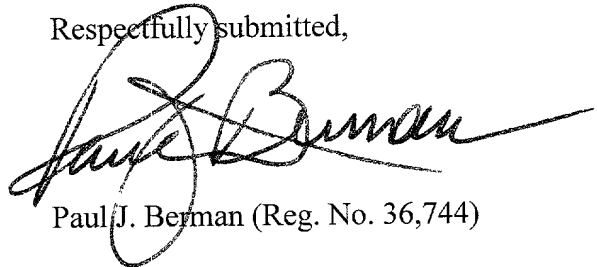
Page 2

- (ii) 17 pages of claims (22 claims);
- (iii) a one (1) page abstract;
- b. 18 sheets of drawings: (Figures 1-8, 9A, 9B, and 10-18); and
- 4. Two (2) return postcards.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.


This patent application is being submitted under 37 C.F.R. § 1.53(b) without Declaration and without filing fee.

Respectfully submitted,



Paul J. Berman (Reg. No. 36,744)

Enclosures

Please type a plus sign (+) inside this box 

Approved for use through 09/30/00 OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE


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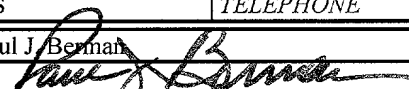
UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(h))</i>		Attorney Docket No. 26859.102.US01	
		First Named Inventor or Application Identifier Jeffrey Lange	
		Title Digital Options Having Demand-Based, Adjustable Returns, and Trading Exchange Therefor	
		Express Mail Label No. 	

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>	Assistant Commissioner for Patents ADDRESS TO: Box Patent Application Washington, DC 20231
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2. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages 245] <i>(preferred arrangement set forth below)</i> -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings <i>(if filed)</i> -Detailed Description -Claim(s) -Abstract of the Disclosure <input checked="" type="checkbox"/> Drawing(s) <i>((35 U.S.C. 113))</i> [Total Sheets 18] <input type="checkbox"/> Oath or Declaration [Total Pages] a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i> 8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies ACCOMPANYING APPLICATION PARTS 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other:
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18. If a CONTINUING APPLICATION , check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-part (CIP) of prior application No: 09/774,816 Prior Application Information: Examiner: M. Tesfamariam Group/Art Unit: 2162	
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.	

19. CORRESPONDENCE ADDRESS	
<input checked="" type="checkbox"/> Customer Number or Bar Code Label  or <input type="checkbox"/> Correspondence address below <i>(Insert Customer Number or Bar Code label here)</i>	

Patent Docketing 26853 COVINGTON & BURLING 1201 Pennsylvania Avenue, N.W. PATENT, TRADEMARK OFFICE					
CITY	Washington	STATE	DC	ZIP CODE	20004-2401
COUNTRY	US	TELEPHONE	202-662-6000	FAX	202-662-6291
Name (Print/Type)	Paul J. Benham		Registration No. (Attorney/Agent)	36,744	
Signature			Date	March 16, 2001	